

# Document Pack



Mark James LLM, DPA, DCA  
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Chief Executive,  
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County Hall, Carmarthen. SA31 1JP

**THURSDAY, 23 FEBRUARY 2017**

**TO: ALL MEMBERS OF THE SOCIAL CARE & HEALTH  
SCRUTINY COMMITTEE**

I HEREBY SUMMON YOU TO ATTEND A MEETING OF THE  
**SOCIAL CARE & HEALTH SCRUTINY COMMITTEE** WHICH WILL  
BE HELD IN THE **CHAMBER, 3 SPILMAN STREET,  
CARMARTHEN AT 10.00 AM ON MONDAY, 6TH MARCH, 2017**  
FOR THE TRANSACTION OF THE BUSINESS OUTLINED ON  
THE ATTACHED AGENDA.

*Mark James* CBE

**CHIEF EXECUTIVE**



PLEASE RECYCLE

|                          |                               |
|--------------------------|-------------------------------|
| Democratic Officer:      | Catherine Gadd                |
| Telephone (Direct Line): | 01267 224088                  |
| E-Mail:                  | cegadd@carmarthenshire.gov.uk |
| Ref:                     | AD016-001                     |

# **SOCIAL CARE & HEALTH SCRUTINY COMMITTEE**

## **14 MEMBERS**

### **PLAID CYMRU GROUP – 5 MEMBERS**

- |    |            |                   |
|----|------------|-------------------|
| 1. | Councillor | T.T. Defis        |
| 2. | Councillor | W.T. Evans        |
| 3. | Councillor | D.J.R. Llewellyn  |
| 4. | Councillor | G. Thomas (Chair) |
| 5. | Councillor | J.S. Williams     |

### **INDEPENDENT GROUP – 3 MEMBERS**

- |    |            |                         |
|----|------------|-------------------------|
| 1. | Councillor | S.M. Allen (Vice-Chair) |
| 2. | Councillor | I.W. Davies             |
| 3. | Councillor | E.G. Thomas             |

### **LABOUR GROUP – 4 MEMBERS**

- |    |            |                |
|----|------------|----------------|
| 1. | Councillor | K. Madge       |
| 2. | Councillor | E. Morgan      |
| 3. | Councillor | B.A.L. Roberts |
| 4. | Councillor | J. Williams    |

### **PEOPLE FIRST (CARMARTHENSHIRE) – 1 MEMBER**

- |    |            |             |
|----|------------|-------------|
| 1. | Councillor | S.M. Caiach |
|----|------------|-------------|

### **UNAFFILIATED – 1 MEMBER**

- |    |            |         |
|----|------------|---------|
| 1. | Councillor | J. Owen |
|----|------------|---------|

# AGENDA

1. APOLOGIES FOR ABSENCE
2. DECLARATIONS OF PERSONAL INTERESTS
3. DECLARATION OF PROHIBITED PARTY WHIPS
4. PUBLIC QUESTIONS (NONE RECEIVED)
5. FORTHCOMING ITEMS 5 - 6
6. DEPRIVATION OF LIBERTY SAFEGUARDS 7 - 16
7. IMPROVEMENT PLAN PERFORMANCE MONITORING REPORT 2016/17 - QUARTER 3 17 - 36
8. REVENUE & CAPITAL BUDGET MONITORING REPORT 2016/17 37 - 50
9. EXPLANATION FOR NON-SUBMISSION OF SCRUTINY REPORT 51 - 52
10. TO RECEIVE THE MINUTES OF THE JOINT EDUCATION & CHILDREN AND SOCIAL CARE & HEALTH SCRUTINY COMMITTEE HELD ON 25TH JANUARY 2017 53 - 56
11. TO SIGN AS A CORRECT RECORD THE MINUTES OF THE MEETING HELD ON THE 25TH JANUARY 2017 57 - 62

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# SOCIAL CARE & HEALTH SCRUTINY COMMITTEE 6<sup>TH</sup> MARCH 2017

## Forthcoming items for next meeting – Thursday 20<sup>th</sup> April 2017

| Discussion Topic   | Background   |
|--|--|
| Communities Business Plan  | This item will enable the Committee to consider and comment on the departmental business plans relevant to its remit.  |
| Local Action Plan in response to Jasmine Report (including CSSIW Escalating Concerns Procedures) | This information was requested by the Committee at the meeting held on 12 <sup>th</sup> December 2016.   |
| Welsh Language in Social Care “More than Just Words”   | A report on the Communities Department’s progress with regards to the Welsh Government’s Strategic Document ‘More than Just Words’, which sets out the importance of the Welsh language when caring for older people. The report will include a full data profile and an action plan for building on the existing skill levels and addressing any shortfall. |
| Actions & Referrals Update   | These quarterly updates provide details on progress made in relation to actions and requests from previous meetings.   |

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## SOCIAL CARE & HEALTH SCRUTINY COMMITTEE

6<sup>TH</sup> MARCH, 2017

### DEPRIVATION OF LIBERTY SAFEGUARDS

**Purpose:**

To update Members regarding the implementation of the Deprivation of Liberty Safeguards (DoLS) legislation, the effect of developing case law and the action being taken to mitigate the associated risks.

**To consider and comment on the following issues:**

Members are asked to consider the report and note the impact of the legislation.

**Reasons:**

To inform members of the current situation and future strategy to respond to the requirements of the DoLS legislation.

To be referred to the Executive Board / Council for decision:    **NO**

**EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:-**

Cllr. J. Tremlett (Social Care & Health portfolio holder)

**Directorate**

**Communities**

**Name of Head of Service:**

**Avril Bracey**

**Designations:**

**Head of Mental Health & Learning  
Disabilities**

**Tel Nos.**

**01267 242492**

**E Mail Addresses:**

[Abacey@carmarthenshire.gov.u](mailto:Abacey@carmarthenshire.gov.u)

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# SOCIAL CARE & HEALTH SCRUTINY COMMITTEE

## 6<sup>TH</sup> MARCH, 2017

### DEPRIVATION OF LIBERTY SAFEGUARDS

#### 1. Purpose

To advise Members of the significant impact of the Deprivation of Liberty Safeguards (DoLS) legislation and developing case law, and the action being taken to mitigate the associated risks.

#### 2. Interface with key council strategies and partnership plans

The report supports key council plans such as the Corporate Strategy 2015-2020 and the Local Service Board's Integrated Community Strategy 2011-2016 specifically in relation to the objectives concerning people feeling safer and healthier. The Mental Health and Learning Disabilities Business Plan also includes priorities to respond to the DoLS requirements.

#### 3. Strategic context

**3.1** The Deprivation of Liberty Safeguards (DoLS) form part of the Mental Capacity Act 2005 and were introduced in England and Wales in April 2009. They were introduced to give a legal framework to vulnerable people who lack mental capacity in care homes and hospitals. The safeguards exist to provide a proper legal process and suitable protection in those circumstances where deprivation of liberty appears to be unavoidable, in a person's own best interest. The responsibility for DoLS is held within the Safeguarding Team and a data base is held of all applications and authorisations. A statistical return is provided to Welsh Government on an annual basis.

**3.2** The Deprivation of Liberty Safeguards has without doubt brought human rights to the fore. The spotlight has been focused on some of the most vulnerable people in our society. Because of this significant legislation, a light has been shone on the conditions of care, maximising empowerment and upholding the rights of the individual.

**3.3** In March 2014 a Supreme Court judgment [P v Cheshire West and Chester Council; P & Q v Surrey County Council] effectively lowered the threshold for DoLS referrals. The Supreme Court confirmed that to determine whether a person is objectively deprived of their liberty there are two key questions to ask, which is described as the 'acid test':

- Is the person subject to **continuous supervision and control** (all three aspects are necessary).
- Is the **person free to leave** (The person may not be asking to leave or making an attempt to leave but the issue is about how staff would react if the person did try to leave)
- This now means that if a person is subject to both continuous supervision **and** control **and** is not free to leave, they are deprived of their liberty.

**3.4** The Supreme Court also held that a Deprivation of Liberty can occur in a domestic setting where the State is responsible for meeting care arrangements. This will include a placement in supported living accommodation, foster placement, shared living accommodation (formerly known as adult placement schemes) and extra care housing. Recent case law, which is currently subject to an application for permission to appeal, has also indicated that where it is brought to the local authority's attention that there is a potential deprivation of liberty in the community, the local authority has a responsibility for ensuring the deprivation is appropriately authorised even if they are not providing or funding the care. If there is or likely to be a deprivation of liberty in these situations it must be authorised by the Court of Protection.

**3.5** Under the DoLS procedures referred to in 3.1 above, a duty has been placed on Local Authorities and Health Boards to act as Supervisory Bodies (SB) for the Deprivation of Liberty Safeguarding scheme. The Council is responsible for considering requests from Residential/Nursing Homes (who are known as Managing Authorities) for people they believe lack mental capacity and are deprived of their liberty. The Supervisory Body (Carmarthenshire County Council) is responsible for managing the process and commissioning the assessments. The assessment consists of six individual assessments which are undertaken by a Best Interest Assessor and a Doctor and must meet all the legal criteria for the authorisation to be granted. If all the assessments meet the qualifying requirements then the Supervisory Body is obliged to authorise a deprivation of liberty. The assessments must be completed and authorised within 21 days or 7 days if it has been submitted as urgent.

**3.6** The Best Interest Assessor role is a statutory responsibility under the Mental Capacity Act 2005 and forms an integral part of the assessment process alongside the Medical Assessor. Best interest Assessors are the lynchpin on which the entire edifice of DoLS rests and they have a range of duties that fall to them within the operation of the safeguards.

**3.7** Fundamental to the process is the appointment of a representative who can advocate for the person subject to the deprivation. This is usually an appropriate family member however in some instances an independent advocate will need to be appointed.

## 4. Risks

- 4.1 As a result of the sudden increase in DoLS since March 2014 and the lack of available BIAs most local authorities throughout the UK have accrued a significant backlog of applications. Without additional resources it has been difficult to meet this demand and fulfil our statutory obligations. This is a significant risk to the local authority in terms of legal challenge and financial penalty.
- 4.2 When an application for a DoLS is received by the Local Authority (Supervisory Body) there is an implied acknowledgement of the Deprivation of Liberty. If the deprivation is not assessed and authorised within the prescribed timescales and the person continues to be deprived of their liberty, then it is a clear breach of human rights (Article 5).
- 4.3 If an unlawful deprivation of Liberty is challenged in the Court of Protection the Local Authority, as Supervisory Body, will be liable to pay between **£3,000 and £4,000** for every month the deprivation has continued without authorisation. The Managing Authority will not be accountable for any breaches in this process. The amount quoted above does not include any other penalties such as damages that the Judge feels it can and should award nor does it include legal fees or court costs. These additional costs could easily double or triple these figures.
- 4.4 A number of legal firms locally and nationally have already identified delays in processing and authorising a DoLS as a potential opportunity for challenge and the number of challenges is steadily increasing. Neighbouring authorities are also reporting the same concerns in relation to this. It is unfortunate that even if the Local Authority gets to a position where it is able to meet all the statutory timescales for DoLS the historical delays and gaps in authorisations can still be challenged.

## 5. Current Situation

- 5.1 The Supreme Court judgment referred to earlier in this report which effectively lowered the threshold for DoLS had an immediate impact on the referral rate to the Deprivation of Liberty Safeguards scheme. This resulted in a tenfold increase in referrals in Wales in 2014/15.
- 5.2 Prior to the judgement Carmarthenshire Council received an average of **57** referrals each year. In 2014/15 we received **856** applications and authorised **165**. In 2015/16 we received **629** applications and authorised **173**. In the current year to date we have received **506** applications and authorised **104**. Included in these figures are the urgent applications which have to be completed within 7 days and renewal applications.

Carmarthenshire continues to receive between 30 and 40 referrals a month. The current number of applications waiting to be assessed is **630** compared with **685** in September 2016. This figure reflects a similar position across Wales with Councils continuing to find it difficult to meet the unprecedented demand and respond to the financial impact.

- 5.3** The Deprivation of Liberty Safeguard Annual Monitoring Report for Health and Social Care 2014/15 (CSSIW & HIW) records that Carmarthenshire County Council has one of the highest rates of referral per 100,000 population in Wales. This is attributable to the very high number of residential/nursing establishments within the boundaries of the Council and the very proactive working done with Managing Authorities to ensure they refer all residents that meet the 'acid test'.
- 5.4** In 2015 the Welsh Government issued guidance on managing the demand for authorisation under the Deprivation of Liberty Safeguards scheme. The tool based on a traffic light system of red, amber and green was developed to assist the prioritisation of referrals to the Supervisory Body (Carmarthenshire County Council) and to manage demand. The Council currently has **374** referrals in the red category.
- 5.5** Local authorities continue to receive small grants from Welsh Government to help manage the volume of DoLs referrals. During this financial year 2016/17 Carmarthenshire County Council received a total of £29,962.00 which consisted of £12,328.00 annual recurring funding and a one off non-recurring grant of £17,634.00. An application was submitted to Corporate Risk funds in 2015 to appoint additional Best Interest Assessors to undertake DoLs assessments. This bid was successful and 2 dedicated Best Interest Assessors have been appointed on a temporary secondment for a period of one year.
- 5.6** The council has trained 45 Social Workers as Best Interest Assessors (BIA's) {39 continue to work for the LA} to meet its statutory obligations but they continue to struggle to undertake the role due to increasing demands in the community social work teams. 2 Full Time temporary BIA's have been seconded to work solely on the DoLs assessments and they are making some progress in tackling the backlog. The number of independent assessors has diminished during the last twelve months however, this pool is steadily increasing.

## **6. Costs**

- 6.1** The current cost of appointing Section 12 Doctors to undertake the medical assessments is £173.37 per client together with mileage at 45p per mile.
- 6.2** The cost of using an Independent BIA is £125.93 (7.5 hours at £16.79 per hour) together with mileage at 45p per mile.
- 6.3** The total cost of commissioning a Section 12 doctor and external BIA is at least £299.30 per authorisation.

## **7. Future Strategy**

- 7.1** The Senior Safeguarding Manager appointed in October 2016 is currently developing a strategy to ensure we meet our statutory responsibilities more effectively and reduce the risk to the council. The engagement of the BIA's in the community teams will be critical in helping us reduce the backlog significantly over the next year in conjunction with the dedicated posts referred to in 5.5 above.

**7.2** A draft plan for addressing the current backlog and the ongoing flow of DoLS applications will be presented to Senior Managers in February. The plan will include the following recommendations:

- To train all Adult Services Social Workers as Best Interest Assessors (This will be achieved by providing refresher training to Social Workers already trained on 7<sup>th</sup> and 13<sup>th</sup> March and by providing training for Social Workers not already trained during April and July 2017).
- To contain the existing risk to Authority by drawing a line under the existing backlog. This backlog will be managed by the 2 full time BIA's and a small number of additional BIA's over a set period of time.
- To agree a date from which all new applications will be managed within timescale (This mitigates future risk to the Local Authority) utilising all trained BIA's
- To introduce an equitable process for allocating Assessments to Care Management teams on the basis of resources. This will include setting realistic targets for the number of assessments completed by each team.
- To obtain a commitment from external BIA's to regularly undertake Urgent and Out of County assessments.
- To ensure Social Work Teams recognise DoLS assessments as a Statutory Duty and adhere to the legal timescales and requirements of this.
- To enhance the DoLS admin resource to ensure assessments are allocated, monitored and collated within timescales and all paperwork distributed appropriately.
- To train all Senior Managers and Team Managers as Supervisory Body signatories who are able to scrutinise and authorise assessments.
- To introduce robust quality monitoring systems to ensure consistent and lawful practice.
- To provide regular updates to Managing Authorities to ensure ongoing compliance

**7.3** The Law Commission has identified that the current system for DoLS is unsustainable and not fit for purpose. A Consultation Paper was produced in July 2015 which outlined recommendations to adopt an enhanced human rights based approach into care management which would minimise the number of individuals needing a DoLS. The Law Commission was due to finalise its recommendations to UK Government by December 2016, however this has now been delayed and we await a new timescale. It is unlikely that any new legislation will be enacted before 2018 at the earliest. The current system together with its challenges and risks will therefore remain until the legislation is revised.

**DETAILED REPORT ATTACHED ?**

**NO**

# IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Avril Bracey**

**Head of Mental Health & Learning Disabilities**

|   |            |            |             |                        |                       |                 |
|---|------------|------------|-------------|------------------------|-----------------------|-----------------|
| Policy, Crime & Disorder and Equalities | Legal      | Finance    | ICT         | Risk Management Issues | Staffing Implications | Physical Assets |
| <b>NONE</b>                             | <b>YES</b> | <b>YES</b> | <b>NONE</b> | <b>YES</b>             | <b>YES</b>            | <b>NONE</b>     |

## 2. Legal

A failure to meet our legal responsibilities to assess and authorise in the required manner and within the statutory timescales could result in a legal challenge and the potential costs associated with this. In particular, a failure to ensure that a deprivation of liberty is properly authorised is unlawful and could give rise to a claim for compensation for a breach of human rights.

## 3. Finance

The Local Authority's requirements in relation to the DoLS legislation does present a number of financial challenges:

- Staff resource to undertake the assessments
- Legal costs associated with Court of Protection applications
- Potential costs of legal challenge

## 5. Risk Management Issues

Failure to meet our obligations in respect of the legislation would mean that vulnerable people lacking mental capacity in care homes may be subject to unlawful deprivation.

## 7. Staffing Implications

The Authority has trained 45 Social Workers as best interests assessors who are based in community teams. Pressures and demands within the community teams has impacted on their ability to undertake this role and subsequently on the number of outstanding referrals.

# CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Avril Bracey

Head of Mental Health & Learning Disabilities

1. Local Member(s) - N/A

2. Community / Town Council – N/A

3. Relevant Partners - N/A

4. Staff Side Representatives and other Organisations - N/A

Section 100D Local Government Act, 1972 – Access to Information  
List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW

| Title of Document   | File Ref No. | Locations that the papers are available for public inspection   |
|---|--------------|---|
| Supreme Court Judgement / Deprivation of Liberty Safeguards           |              | <a href="https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/485122/DH_Consolidated_Guidance.pdf">https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/485122/DH_Consolidated_Guidance.pdf</a>   |
| CSSIW National Review of the use of Deprivation of Liberty Safeguards |              | <a href="http://cssiw.org.uk/our-reports/national-thematic-report/2014/review-of-the-use-of-deprivation-of-liberty-safeguards-in-wales/?lang=en">http://cssiw.org.uk/our-reports/national-thematic-report/2014/review-of-the-use-of-deprivation-of-liberty-safeguards-in-wales/?lang=en</a> |
| Law Commission Consultation on Deprivation of Liberty Safeguards      |              | <a href="http://www.lawcom.gov.uk/project/mental-capacity-and-deprivation-of-liberty/">http://www.lawcom.gov.uk/project/mental-capacity-and-deprivation-of-liberty/</a>   |

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**SOCIAL CARE & HEALTH SCRUTINY  
COMMITTEE  
6<sup>th</sup> MARCH, 2017**

**2016/17 Improvement Plan  
Performance Monitoring Report  
Quarter 3 – 1<sup>st</sup> April to 31<sup>st</sup> December 2016**

**To consider and comment on the following issues:**

That the Committee scrutinises the 2016/17 Improvement Plan Performance Monitoring Report for Quarter 3. The report includes:

- Actions and measures in the 2016/17 Improvement Plan relevant to the Committee's remit.

**Reasons:**

- To ensure that any areas of concern are identified and relevant action taken.
- To enable members to exercise their scrutiny role in relation to performance monitoring.

**To be referred to the Executive Board for decision: NO**

**Executive Board Member Portfolio Holder:** Cllr. Jane Tremlett (Social Care & Health)

|   |   |  |
|---|---|--|
| <b>Directorate:</b><br>Communities<br><br><b>Names of Heads of Service:</b><br>Avril Bracey<br><br>Rhian Dawson<br><br><b>Report Author:</b><br>Silvana Sauro | <b>Designations:</b><br><br>Head of Mental Health & Learning Disabilities<br><br>Head of Integrated Services<br><br>Performance, Analysis & Systems Manager | <b>Tel Nos./ E-Mail Addresses:</b><br><br>01267 242492<br><a href="mailto:abracey@carmarthenshire.gov.uk">abracey@carmarthenshire.gov.uk</a><br><br>01267 228900<br><a href="mailto:rhian.dawson@wales.nhs.uk">rhian.dawson@wales.nhs.uk</a><br><br>01267 228897<br><a href="mailto:ssauro@carmarthenshire.gov.uk">ssauro@carmarthenshire.gov.uk</a> |
|---|---|--|

**EXECUTIVE SUMMARY**

**SOCIAL CARE & HEALTH SCRUTINY  
COMMITTEE**

**6<sup>TH</sup> MARCH, 2017**

**2016/17 Improvement Plan  
Performance Monitoring Report**

**Quarter 3 – 1<sup>st</sup> April to 31<sup>st</sup> December 2016**

The attached report sets out the progress against the actions and measures in the 2016/17 Improvement Plan relevant to the Committee's remit, as at 31st December 2016.

**DETAILED REPORT ATTACHED?**

**YES**

## IMPLICATIONS

**We confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.**

**Signed: Rhian Dawson**

**Head of Integrated Services**

**Avril Bracey**

**Head of Mental Health & Learning Disabilities**

|   |            |             |             |                              |                          |                    |
|---|------------|-------------|-------------|------------------------------|--------------------------|--------------------|
| Policy,<br>Crime &<br>Disorder<br>and<br>Equalities | Legal      | Finance     | ICT         | Risk<br>Management<br>Issues | Staffing<br>Implications | Physical<br>Assets |
| <b>YES</b>  | <b>YES</b> | <b>NONE</b> | <b>NONE</b> | <b>YES</b>                   | <b>NONE</b>              | <b>NONE</b>        |

**1. Policy, Crime & Disorder and Equalities** – Performance Indicators are set to monitor the performance of services and targets set. If the Authority is to deliver its promises to citizens and stakeholders, then indicators underachieving need to be addressed.

**2. Legal** – Performance Indicators are set to monitor the performance of services and targets set. If the Authority is to deliver its promises to citizens and stakeholders, then indicators underachieving need to be addressed.

**5. Risk Management Issues** – This report refers to all actions and measures in the 2016/17 Improvement Plan within the Committee's remit. Potential risks addressed are:

- regulatory report recommendations
- comments on not meeting our own goals – actions and measures
- improvement and comparative data for national measures

# CONSULTATIONS

We confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: Rhian Dawson                      Head of Integrated Services  
                  Avril Bracey                      Head of Mental Health & Learning Disabilities

**1. Local Member(s) – N/A**

**2. Community / Town Councils – N/A**

**3. Relevant Partners – N/A**

**4. Staff Side Representatives and other Organisations –** All departments have been consulted and have had the opportunity to provide comments on their performance and progress.

## **Section 100D Local Government Act, 1972 – Access to Information List of Background Papers used in the preparation of this report:**

**THESE ARE DETAILED BELOW:**

| Title of Document                                     | File Ref No. / Locations that the papers are available for public inspection  |
|---|---|
| CCC Annual Report 2015/16 & Improvement Plan 2016/17  | <a href="http://www.carmarthenshire.gov.wales/home/council-democracy/consultation-performance/performance-reports/#.V765x0_2b2A">http://www.carmarthenshire.gov.wales/home/council-democracy/consultation-performance/performance-reports/#.V765x0_2b2A</a> |
| Performance Measurement Records                       | Performance Management Unit, Regeneration & Policy Division   |
| Departmental Business Plans 2016/17                   | Performance Management Unit, Regeneration & Policy Division   |
| Carmarthenshire Integrated Community Strategy 2011-16 | <a href="http://www.thecarmarthenshirepartnership.org.uk">www.thecarmarthenshirepartnership.org.uk</a>  |
| CCC Corporate Strategy 2015-20                        | <a href="http://www.carmarthenshire.gov.wales/media/998105/corporate-strategy.pdf">http://www.carmarthenshire.gov.wales/media/998105/corporate-strategy.pdf</a>   |
| Budget Monitoring Reports                             | Corporate Services Department   |

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## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3 2016/17

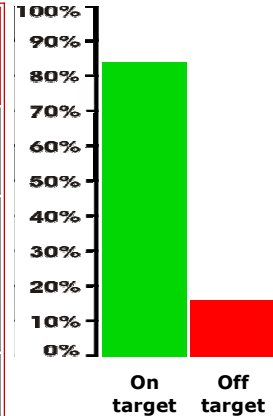


Filtered by:  
 Organisation - Carmarthenshire County Council  
 Source document - Improvement Plan 2016/17

The table below provides a summary progress against target for the Actions and Measures contained within the selected document

**Performance against Target**

|  |          | Total     | On target | Off target | Not reported | Not available | Annual / Not started | % on target | Overall % on target |
|--|----------|-----------|-----------|------------|--------------|---------------|----------------------|-------------|---------------------|
| C. People in Carmarthenshire are healthier                                 | Actions  | 17        | 14        | 3          | 0            | N/A           | 0                    | 82%         | 86%                 |
|  | Measures | 4         | 4         | 0          | 0            | 0             | 0                    | 100%        |                     |
| E. People who live, work and visit Carmarthenshire are safe and feel safer | Actions  | 3         | 3         | 0          | 0            | N/A           | 0                    | 100%        | 75%                 |
|  | Measures | 1         | 0         | 1          | 0            | 0             | 0                    | 0%          |                     |
| <b>Overall Performance</b>   |          | <b>25</b> | <b>21</b> | <b>4</b>   | <b>0</b>     | <b>0</b>      | <b>0</b>             | <b>84%</b>  |                     |



**OFF TARGET**





## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3 2016/17



|  |  |                                       |            |
|--|--|---------------------------------------|------------|
| <b>ACTIONS - Theme: C. People in Carmarthenshire are healthier</b>                                     |  |                                       |            |
| <b>Sub-theme: C3 Improving the Emotional, Mental Health and Well-being of all people in the County</b> |  |                                       |            |
| <b>Action</b>  | 12047  | <b>Target date</b>                    | 31/03/2017 |
| <b>Action promised</b>   | We will develop a commissioning plan for Learning Disability and Mental Health services  |                                       |            |
| <b>Comment</b>   | Considerable needs data has been obtained and analysed to inform the Strategy: the work of the Population Needs Assessment, a series of lockdowns with the Learning Disabilities teams to collate accommodation needs and a recent TIC review in the in house provider services.   |                                       |            |
| <b>Remedial Action</b>   | A revised strategic format is to be developed by December 2017 that will provide a more accessible and relevant document for all stakeholders  |                                       |            |
| <b>Service Head:</b> Chris Harrison  |  | <b>Performance status:</b> Off target | ☹          |
| <b>Action</b>  | 12049  | <b>Target date</b>                    | 31/03/2017 |
| <b>Action promised</b>   | We will develop an Information Strategy for Deprivation of Liberty Safeguards to ensure that we meet the needs of staff and the public.  |                                       |            |
| <b>Comment</b>   | Information leaflet already provided to Residential /Nursing Homes for relatives and friends of residents who are referred to Carmarthenshire County Council for assessment under the Deprivation of Safeguards scheme. The MCA & DoLS Manager is currently reviewing this leaflet and is also producing information for the general public so that they are well informed about the Deprivation of Liberty Safeguards scheme. |                                       |            |
| <b>Remedial Action</b>   | A leaflet for the general public will be created and updated information relating to Deprivation of Liberty Safeguards will be available on the Corporate Website prior to 31st March 2017   |                                       |            |
| <b>Service Head:</b> Avril Bracey  |  | <b>Performance status:</b> Off target | ☹          |



## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3 2016/17



| <b>ACTIONS - Theme: C. People in Carmarthenshire are healthier</b> |  |                                       |   |
|--|--|---------------------------------------|---|
| <b>Sub-theme: C4 Reducing inequities in health</b>                 |  |                                       |   |
| <b>Action</b>  | 12055  | <b>Target date</b>                    | 30/06/2017 (original target 31/03/2017) |
| <b>Action promised</b>   | We will identify the strengths and resources within communities which can contribute to promoting and supporting the health and wellbeing of their population  |                                       |   |
| <b>Comment</b>   | Asset mapping from a community level has progressed well. This action is specifically related to making that asset mapping information available to wider professionals and the public. The first tranche of this work was involved in populating infoengine which included third sector information and has now progressed to include all services public, private and the third sector to be included on the Dewis website. A significant amount of work has been progressed to gather and check this information and now this is being uploaded onto the Dewis website. Some staffing gaps have compromised the progress - but this is being addressed. |                                       |   |
| <b>Remedial Action</b>   | With 1 officer now back in work they are supporting Dewis work from within IAA. IAA is also resourcing this work and when 2nd post is back in work this action will be identified as a priority and it is envisioned that this first stage of work will be completed in the next quarter.  |                                       |   |
| <b>Service Head:</b> Rhian Dawson                                  |  | <b>Performance status:</b> Off target |   |



## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3 2016/17



| Theme: E. People who live, work and visit Carmarthenshire are safe and feel safer         |  |              |                                       |                                    |  |  |               |
|---|--|--------------|---------------------------------------|------------------------------------|--|--|---------------|
| Sub-theme: E5 Safeguarding all people from abuse, victimisation, neglect and exploitation |  |              |                                       |                                    |  |  |               |
| Measure Description   | 2015/16 Comparative Data   |              |                                       | 2016/17 Target and Results         |  |  |               |
|   | Best Quartile  | Welsh Median | Our Actual                            | Quarter 1                          | Quarter 2                                  | Quarter 3  | End of Year   |
| The percentage of adult protection enquiries completed within 7 days<br><br>SCA/18        | Not applicable   |              | New measure                           | Target: 75.00<br><br>Result: 73.00 | Target: 75.00<br><br>Result: Not available | Target: 75.00<br><br>Result: 72.45<br><br>Calculation: $(397 \div 548) \times 100$ | Target: 75.00 |
| <b>Comment</b>  | This is a new performance measure which supports the expectations of the Social Services Wellbeing Act. Of the 548 enquiries undertaken to date, 151 were not completed within the 7 day timescale. This figure was marginally off target in quarter 3 however we have introduced new processes and are working with our partner agencies to ensure that the target is achieved by year end. |              |                                       |                                    |  |  |               |
| <b>Remedial Action</b>  | See above comment  |              |                                       |                                    |  |  |               |
| <b>Service Head:</b> Avril Bracey   |  |              | <b>Performance status:</b> Off target |                                    |  |  | ☹️            |

**ON TARGET ETC.**



## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3

### 2016/17



| <b>Theme: C. People in Carmarthenshire are healthier</b><br><b>Sub-theme: C2 Preventing ill health and encourage healthy living</b>             |   |              |  |  |  |   |                     |
|---|---|--------------|--|--|--|---|---------------------|
| Measure Description   | 2015/16 Comparative Data  |              |  | 2016/17 Target and Results                     |  |   |                     |
|   | Best Quartile   | Welsh Median | Our Actual                                     | Quarter 1                                      | Quarter 2                                      | Quarter 3   | End of Year         |
| The number of people referred to the "Vitality Scheme" (NERS – National Exercise Referral Scheme)<br><br>3.4.2.5                                | Not applicable  |              | Q3: <b>810</b><br><br>End Of Year: <b>1129</b> | Target: <b>252</b><br><br>Result: <b>272</b>   | Target: <b>504</b><br><br>Result: <b>585</b>   | Target: <b>756</b><br><br>Result: <b>909</b>  | Target: <b>1008</b> |
| <b>Comment</b>  | On Target   |              |  |  |  |   |                     |
| <b>Remedial Action</b>  | Continue to work with referral partners to maintain referral numbers.   |              |  |  |  |   |                     |
| <b>Service Head:</b> Ian Jones  |   |              | <b>Performance status:</b> On target           |  |  |   |                     |
| Measure Description   | 2015/16 Comparative Data  |              |  | 2016/17 Target and Results                     |  |   |                     |
|   | Best Quartile   | Welsh Median | Our Actual                                     | Quarter 1                                      | Quarter 2                                      | Quarter 3   | End of Year         |
| The percentage of people referred to the National Exercise Referral scheme that attend the initial consultation of the programme<br><br>3.4.2.6 | Not applicable  |              | New measure                                    | Target: <b>56.0</b><br><br>Result: <b>66.7</b> | Target: <b>56.0</b><br><br>Result: <b>60.9</b> | Target: <b>56.0</b><br><br>Result: <b>57.4</b><br><br>Calculation: <b>(522÷909) × 100</b> | Target: <b>56.0</b> |
| <b>Comment</b>  | On target   |              |  |  |  |   |                     |
| <b>Remedial Action</b>  | Continue to work hard with the NERS team to improve performance. We are in the process of backfilling NERS hours to further improve performance.  |              |  |  |  |   |                     |
| <b>Service Head:</b> Ian Jones  |   |              | <b>Performance status:</b> On target           |  |  |   |                     |
| Measure Description   | 2015/16 Comparative Data  |              |  | 2016/17 Target and Results                     |  |   |                     |
|   | Best Quartile   | Welsh Median | Our Actual                                     | Quarter 1                                      | Quarter 2                                      | Quarter 3   | End of Year         |
| The percentage of people referred to the National Exercise Referral scheme that complete the 16 week programme<br><br>3.4.2.7                   | Not applicable  |              | New measure                                    | Target: <b>49.6</b><br><br>Result: <b>62.1</b> | Target: <b>49.6</b><br><br>Result: <b>56.2</b> | Target: <b>49.6</b><br><br>Result: <b>54.3</b><br><br>Calculation: <b>(226÷416) × 100</b> | Target: <b>50.0</b> |
| <b>Comment</b>  | On target   |              |  |  |  |   |                     |
| <b>Remedial Action</b>  | Continue to work hard to improve scheme completion rates. We are currently backfilling vacant NERS hours and reviewing referral/customer journey. |              |  |  |  |   |                     |
| <b>Service Head:</b> Ian Jones  |   |              | <b>Performance status:</b> On target           |  |  |   |                     |



## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3 2016/17



|   |   |                                      |            |
|---|---|--------------------------------------|------------|
| <b>ACTIONS</b> - Theme: C. People in Carmarthenshire are healthier<br>Sub-theme: C3 Improving the Emotional, Mental Health and Well-being of all people in the County |   |                                      |            |
| <b>Action</b>   | 12048   | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>  | We will review how we meet the needs of young adults who are physically disabled as part of transitional arrangements.  |                                      |            |
| <b>Comment</b>  | We have arranged a meeting with colleagues from children`s services and adult social care in Q4. We will undertake a scoping exercise which will help us to determine whether we need to realign our services or develop care pathways to consistently meet the needs of this user group. |                                      |            |
| <b>Service Head:</b> Avril Bracey   |   | <b>Performance status:</b> On target |            |



## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3

### 2016/17



**Theme: C. People in Carmarthenshire are healthier**  
**Sub-theme: C4 Reducing inequities in health**

| Measure Description  | 2015/16 Comparative Data |              |                                      | 2016/17 Target and Results |                        |  |                        |
|--|--------------------------|--------------|--------------------------------------|----------------------------|------------------------|--|------------------------|
|  | Best Quartile            | Welsh Median | Our Actual                           | Quarter 1                  | Quarter 2              | Quarter 3                                  | End of Year            |
| The rate of delayed transfers of care for social care reasons per 1,000 population aged 75 or over | 2.44                     | 4.33         | Q3:<br><b>2.42</b>                   | Target:<br><b>1.11</b>     | Target:<br><b>2.23</b> | Target:<br><b>3.34</b>                     | Target:<br><b>4.51</b> |
| SCA/19   |                          |              | End Of Year:<br><b>4.69</b>          | Result:<br><b>1.23</b>     | Result:<br><b>2.46</b> | Result:<br><b>3.32</b>                     |                        |
|  |                          |              |                                      |                            |                        | Calculation:<br><b>(62 ÷ 18675) × 1000</b> |                        |
| <b>Service Head:</b> Rhian Dawson  |                          |              | <b>Performance status:</b> On target |                            |                        |  |                        |



## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3 2016/17



|  |  |                                      |            |
|--|--|--------------------------------------|------------|
| <b>ACTIONS - Theme: C. People in Carmarthenshire are healthier</b> |  |                                      |            |
| <b>Sub-theme: C4 Reducing inequities in health</b>                 |  |                                      |            |
| <b>Action</b>  | 12050  | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will develop a robust and efficient Information Advice & Assistance service   |                                      |            |
| <b>Comment</b>   | Training for Careline agents is progressing well with 19 staff being enrolled on the NVQ level 4 qualifications for IAA to enable us to provide a compliant IAA service. A small multi-disciplinary team of social care and health professionals have been working alongside Careline staff on the proof of concept for the new service for the last 10 weeks to deliver an IAA service for integrated services will form the proof of concept for other social services. This is providing excellent learning and is helping shape the new service. |                                      |            |
| <b>Service Head:</b> Rhian Dawson                                  |  | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12051  | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will manage the risks associated with outstanding reviews and Deprivation of Liberty Safeguards applications  |                                      |            |
| <b>Comment</b>   | Approval has been sought to train all adult social care workers as BIA's and to make arrangements for a planned allocation of backlog and new assessments to be shared amongst social work teams. This will make significant inroads to the waiting list for Dols  |                                      |            |
| <b>Service Head:</b> Avril Bracey                                  |  | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12052  | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will conduct a population assessment at locality level to inform population needs assessment and service planning in line with the new SSWB W Act   |                                      |            |
| <b>Comment</b>   | A regional group was established to progress the population assessment defined in themes. this report has now been completed with Carmarthenshire contribution at all levels and leading on the regional older adults report. the intelligence gained from compiling this report was reflected at a locality level in the locality plans which looked at needs specifically in each locality.  |                                      |            |
| <b>Service Head:</b> Rhian Dawson                                  |  | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12053  | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will modernise our workforce to support implementation of the duties under the Social Services Well-Being Act and the objectives outlined in 'Carmarthenshire's Vision for Sustainable Services for Older People for the Next Decade' and the Health Board's Integrated Medium Term Plan.   |                                      |            |
| <b>Comment</b>   | We continue to progress according to programme timescales.   |                                      |            |
| <b>Service Head:</b> Rhian Dawson                                  |  | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12054  | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will take forward the Delivery Plan for Ageing Well in Wales and ensure we link to other Council plans and with other relevant partners.  |                                      |            |
| <b>Comment</b>   | An annual report has been produced to show Council performance for the period 2015-16. Work is underway to ensure business plans continue to reflect Ageing Well Plan priorities.  |                                      |            |
| <b>Service Head:</b> Wendy S Walters                               |  | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12056  | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will effectively commission short term assessment and interventions to maximise independence and wellbeing outcomes for our population  |                                      |            |
| <b>Comment</b>   | Reablement SLA & specification are in the process of being signed off by Health & Provider services. Rapid Response service to be reviewed. ICF has enabled commissioning of 20 step down beds to facilitate timely discharges from hospital from December to end of March 2017.   |                                      |            |
| <b>Service Head:</b> Rhian Dawson                                  |  | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12057  | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will implement actions within the Carmarthenshire Dementia Action Board plan across health and social areas in Carmarthenshire  |                                      |            |
| <b>Comment</b>   | Further work has been completed on the realignment of CDAB. A meeting was held on the 1st of December for CDAB to support an identified priority from the action plan. This meeting was about effective commissioning practices for those living with dementia and to ensure an appropriate MDT response. The regional strategic group has now been convened for February and from this the revised structure an aligned action plan for CDAB will be established.   |                                      |            |
| <b>Service Head:</b> Rhian Dawson                                  |  | <b>Performance status:</b> On target |            |





## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3 2016/17



|  |   |                                      |            |
|--|---|--------------------------------------|------------|
| <b>ACTIONS - Theme: C. People in Carmarthenshire are healthier</b>                                       |   |                                      |            |
| <b>Sub-theme: C6 Improve access to health and social care for all people including vulnerable groups</b> |   |                                      |            |
| <b>Action</b>  | 12061   | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will promote the Welsh language and ensure compliance with the 'Active Offer' across all service areas   |                                      |            |
| <b>Comment</b>   | We continue to progress against action plan which is monitored and overseen by Welsh Language Strategic Group   |                                      |            |
| <b>Service Head:</b> Rhian Dawson  |   | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12062   | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will develop a multi agency transition strategy and implement in partnership with disabled young people and their families   |                                      |            |
| <b>Comment</b>   | <p>We have held a development day with the Transition Team to commence this work in Q3.</p> <p>We have commissioned IPC (Institute of Public Care) to undertake a further detailed audit of cases and assist us in developing a multi agency strategy.</p> <p>We have also planned to hold a series of meetings with senior officers to develop a vision for disabled children and their families for the future. This will take into account the requirements of the Social Services and Well-being Act and the forthcoming Additional Learning Needs reforms.</p> <p>We will consult on this vision with key stakeholders before publishing a strategy later this year.</p> |                                      |            |
| <b>Service Head:</b> Stefan Smith  |   | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12063   | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will ensure that disabled children and young people are supported to access work, education, training and leisure opportunities.   |                                      |            |
| <b>Comment</b>   | <p>We have now recruited to the Cynnydd project and staff have begun working with Education colleagues to ensure that we are identifying disabled children who maybe at risk of becoming 'NEET'.</p> <p>Work is also underway to review our direct service provision within the Community Inclusion division. This will be an opportunity to ensure that services are modernised and focused on the needs of young people.</p>  |                                      |            |
| <b>Service Head:</b> Stefan Smith  |   | <b>Performance status:</b> On target |            |



## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3 2016/17



|  |  |                                      |            |
|--|--|--------------------------------------|------------|
| <b>ACTIONS - Theme: C. People in Carmarthenshire are healthier</b> |  |                                      |            |
| <b>Sub-theme: C7 Reducing drug and alcohol misuse</b>              |  |                                      |            |
| <b>Action</b>  | 12066  | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will evaluate and implement options to integrate Substance Misuse Team & Integrated Family Support Team   |                                      |            |
| <b>Comment</b>   | <p>Proposals will be brought forward to finalise the structure for the substance misuse services in Q4.</p> <p>This will be aligned with the structure in adult social care but with a clear commitment towards a family based approach.</p> <p>The structure will enable us to deliver our obligations under the Social Services and Well-being Act.</p>  |                                      |            |
| <b>Service Head:</b> Stefan Smith                                  |  | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12067  | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will review the transition arrangements for young people with substance misuse problems to ensure there is a seamless pathway as they move from children to adult services.   |                                      |            |
| <b>Comment</b>   | <p>Audit plan developed in Q3. Report with recommendations to be produced in Q4.</p> <p>Report will be shared with the Area Planning Board.</p>  |                                      |            |
| <b>Service Head:</b> Stefan Smith                                  |  | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12068  | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We will review the impact of substance use upon adults with mental health problems. This includes older people and those with Alcohol Related Brain Damage (ARBD)  |                                      |            |
| <b>Comment</b>   | <p>Training relating to ARBD was commissioned and delivered across the department.</p> <p>There was no attendance from mental health colleagues so we will evaluate how to address this with senior managers. Substance Misuse colleagues scheduled to attend the AHMP (Approved Mental Health Professional) forum in Q4 to discuss.</p> <p>A plan is being developed to led on this are of working by the health board.</p> |                                      |            |
| <b>Service Head:</b> Avril Bracey                                  |  | <b>Performance status:</b> On target |            |



## Report A

### Scrutiny measures & actions full monitoring report

### Social Care and Health scrutiny - Quarter 3 2016/17



|  |   |                                      |            |
|--|---|--------------------------------------|------------|
| <b>ACTIONS - Theme: E. People who live, work and visit Carmarthenshire are safe and feel safer</b> |   |                                      |            |
| <b>Sub-theme: E5 Safeguarding all people from abuse, victimisation, neglect and exploitation</b>   |   |                                      |            |
| <b>Action</b>  | 12147   | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We shall embed a quality assurance system within safeguarding, assessment and care management teams   |                                      |            |
| <b>Comment</b>   | A new operational structure has been introduced in Carmarthenshire. Assessing performance is a standing agenda item for that group and a culture of "challenge" has been accepted by all partners. Additionally a regional quality assurance framework is being developed   |                                      |            |
| <b>Service Head:</b> Avril Bracey  |   | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12148   | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We shall establish regular forums for Investigating officers and Adult Services Managers to learn lessons and share best practice   |                                      |            |
| <b>Comment</b>   | The majority of investigations (non criminal) are undertaken by the co-ordinators within the safeguarding team who regularly share and feedback on practice and improvement opportunities including cases in common with Dyfed Powys Police. As we establish a larger pool of Investigators this will form part of an ongoing dialogue in department and external organisations |                                      |            |
| <b>Service Head:</b> Avril Bracey  |   | <b>Performance status:</b> On target |            |
| <b>Action</b>  | 12149   | <b>Target date</b>                   | 31/03/2017 |
| <b>Action promised</b>   | We shall undertake a review of existing processes to ensure they are streamlined effectively  |                                      |            |
| <b>Comment</b>   | A comprehensive review of our current safeguarding arrangements has been undertaken to ensure an effective response through all stages of the process and ensures that the service users voice is heard.  |                                      |            |
| <b>Service Head:</b> Avril Bracey  |   | <b>Performance status:</b> On target |            |

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# SOCIAL CARE & HEALTH SCRUTINY COMMITTEE 6<sup>th</sup> March 2017

## Revenue & Capital Budget Monitoring Report 2016/17

### To consider and comment on the following issues:

- That the Scrutiny Committee receives the budget monitoring report for the Social Care & Health Service and considers the budgetary position.

### Reasons:

- To provide Scrutiny with an update on the latest budgetary position as at 31<sup>st</sup> December 2016, in respect of 2016-17.

**To be referred to the Executive Board for decision: NO**

### Executive Board Member Portfolio Holders:

- Cllr. David Jenkins (Resources)
- Cllr. Jane Tremlett (Social Care & Health)

|   |   |  |
|---|---|--|
| <b>Directorate:</b><br>Corporate Services<br><br><b>Name of Head of Service:</b><br>Owen Bowen<br><br><b>Report Author:</b><br>Owen Bowen | <b>Designation:</b><br><br>Head of Financial Services | <b>Tel No. / E-Mail Address:</b><br><br>01267 224886<br><a href="mailto:obowen@carmarthenshire.gov.uk">obowen@carmarthenshire.gov.uk</a> |
|---|---|--|

**EXECUTIVE SUMMARY**

**SOCIAL CARE & HEALTH SCRUTINY  
COMMITTEE**

**6<sup>th</sup> March 2017**

**Revenue & Capital Budget  
Monitoring Report 2016/17**

The Financial Monitoring Report is presented as follows :

**Revenue Budgets**

**Appendix A**

Summary position for the Social Care and Health Scrutiny Committee. Services within the Social Care and Health Scrutiny remit are forecasting a £392k overspend.

**Appendix B**

Report on Main Variances on agreed budgets.

**Appendix C**

Detail variances for information purposes only.

**Capital Budgets**

**Appendix D**

Details the main variances, which shows a forecasted net spend of £575k compared with a working net budget of £2,565k giving a -£1,990k variance. The variance will be slipped into future years, as the funding will be required to ensure that the schemes are completed.

**Appendix E**

Detail variances on all schemes for information purposes only.

**DETAILED REPORT ATTACHED?**

***YES – A list of the main variances is attached to this report***

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.

Signed: Owen Bowen Head of Financial Services

|   |       |         |      |                        |                       |                 |
|---|-------|---------|------|------------------------|-----------------------|-----------------|
| Policy, Crime & Disorder and Equalities | Legal | Finance | ICT  | Risk Management Issues | Staffing Implications | Physical Assets |
| NONE                                    | NONE  | YES     | NONE | NONE                   | NONE                  | NONE            |

### 3. Finance

Revenue – The Social Care & Health Service is projecting that it will be over its approved budget by £392k.

Capital – The capital programme shows a net variance of -£1,990k against the 2016/17 approved budget.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: Owen Bowen Head of Financial Services

1. Local Member(s) – N/A
2. Community / Town Council – N/A
3. Relevant Partners – N/A
4. Staff Side Representatives and other Organisations – N/A

Section 100D Local Government Act, 1972 – Access to Information  
List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW:

| Title of Document | File Ref No. / Locations that the papers are available for public inspection |
|-------------------|--|
| 2016/17 Budget    | Corporate Services Department, County Hall, Carmarthen                       |

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**Social Care & Health Scrutiny Report**  
**Budget Monitoring as at 31st December 2016 - Summary**

| Division              | Working Budget       |                 |                                   |               | Forecasted           |                 |                                   |               | Dec 2016<br>Forecasted<br>Variance for<br>Year<br>£'000 | Oct 2016<br>Forecasted<br>Variance for<br>Year<br>£'000 |
|-----------------------|----------------------|-----------------|-----------------------------------|---------------|----------------------|-----------------|-----------------------------------|---------------|---|---|
|                       | Expenditure<br>£'000 | Income<br>£'000 | Net non-<br>controllable<br>£'000 | Net<br>£'000  | Expenditure<br>£'000 | Income<br>£'000 | Net non-<br>controllable<br>£'000 | Net<br>£'000  |   |   |
| <b>Adult Services</b> |                      |                 |                                   |               |                      |                 |                                   |               |   |   |
| Older People          | 50,344               | -17,689         | 3,124                             | 35,778        | 51,202               | -18,089         | 3,124                             | 36,237        | 458   | 560   |
| Physical Disabilities | 5,989                | -748            | 92                                | 5,333         | 6,097                | -1,076          | 92                                | 5,114         | -219  | -239  |
| Learning Disabilities | 31,060               | -8,425          | 1,349                             | 23,984        | 30,974               | -8,199          | 1,349                             | 24,125        | 141   | 221   |
| Mental Health         | 9,154                | -3,379          | 130                               | 5,904         | 9,443                | -3,569          | 130                               | 6,004         | 100   | -15   |
| Support               | 4,753                | -1,638          | 830                               | 3,944         | 5,323                | -2,296          | 830                               | 3,856         | -88   | -135  |
| <b>GRAND TOTAL</b>    | <b>101,299</b>       | <b>-31,880</b>  | <b>5,525</b>                      | <b>74,944</b> | <b>103,040</b>       | <b>-33,228</b>  | <b>5,525</b>                      | <b>75,336</b> | <b>392</b>  | <b>392</b>  |

## Social Care & Health Scrutiny Report

### Budget Monitoring as at 31st December 2016 - Main Variances

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| Division                                 | Working Budget       |                 | Forecasted           |                 | Dec 2016                                    | Notes   | Oct 2016                                    |
|--|----------------------|-----------------|----------------------|-----------------|---|---|---|
|  | Expenditure<br>£'000 | Income<br>£'000 | Expenditure<br>£'000 | Income<br>£'000 | Forecasted<br>Variance for<br>Year<br>£'000 |   | Forecasted<br>Variance for<br>Year<br>£'000 |
| <b>Adult Services</b>                    |                      |                 |                      |                 |   |   |   |
| <b>Older People</b>                      |                      |                 |                      |                 |   |   |   |
| Older People - Commissioning             | 2,855                | -10             | 2,787                | -20             | -79   | Staff vacancies   | -61   |
| Older People - LA Homes                  | 6,932                | -3,852          | 6,873                | -3,846          | -52   | Supplies & Services   | -34   |
| Older People - Private/ Vol Homes        | 17,537               | -8,954          | 18,693               | -9,229          | 880   | Net loss in placements insufficient to meet savings target                  | 764   |
| Older People - Extra Care                | 719                  | 0               | 870                  | 0               | 150   | Lower than anticipated saving from contract renegotiations                  | 151   |
| Older People - LA Home Care              | 6,039                | -419            | 5,870                | -291            | -41   | Reduction in income at Cartref Cynnes                                       | 0   |
| Older People - Direct Payments           | 614                  | 0               | 708                  | 0               | 94  | Increase in packages  | 73  |
| Older People - Grants                    | 268                  | 0               | 237                  | 0               | -31   | Reduced grant payments  | -31   |
| Older People - Ssmms                     | 1,171                | -228            | 1,029                | -275            | -189  | Staff vacancies, reduced spend on supplies & services and additional income | -180  |
| Older People - Careline                  | 1,060                | -1,165          | 1,204                | -1,378          | -68   | Additional staffing & other costs offset by additional income               | -104  |
| Older People - Enablement                | 2,405                | -800            | 2,086                | -800            | -318  | Staff vacancies   | -191  |
| Older People - Day Services              | 1,123                | -76             | 1,207                | -64             | 97  | Slower than anticipated restructure   | 167   |
|  |                      |                 |                      |                 |   |   |   |
| <b>Physical Disabilities</b>             |                      |                 |                      |                 |   |   |   |
| Phys Dis - Commissioning & OT Services   | 600                  | -79             | 509                  | -79             | -91   | Staff vacancies   | -81   |
| Phys Dis - Private/Vol Homes             | 561                  | -111            | 516                  | -111            | -45   | Reduction in packages   | -46   |
| Phys Dis - Group Homes/Supported Living  | 1,358                | -116            | 1,327                | -116            | -31   | Reduction in packages   | -50   |
| Phys Dis - Direct Payments               | 1,831                | 0               | 1,792                | 0               | -39   | Reduction in packages   | -22   |
|  |                      |                 |                      |                 |   |   |   |
| <b>Learning Disabilities</b>             |                      |                 |                      |                 |   |   |   |
| Learn Dis - Employment & Training        | 2,416                | -903            | 2,294                | -718            | 62  | Reduction in grant for Workchoice programme.                                | 140   |
| Learn Dis - Commissioning                | 890                  | 0               | 865                  | 0               | -25   | Staff vacancies   | 8   |
| Learn Dis - Private/Vol Homes            | 10,047               | -3,157          | 10,126               | -3,087          | 147   | Increase in packages  | 84  |
| Learn Dis - Direct Payments              | 1,275                | 0               | 1,411                | 0               | 136   | Inflationary fee uplift   | 112   |
| Learn Dis - Group Homes/Supported Living | 6,180                | -1,010          | 6,095                | -1,010          | -85   | Decrease in packages  | 32  |
| Learn Dis - Adult Respite Care           | 932                  | -812            | 818                  | -812            | -115  | Staff vacancies   | -67   |
| Learn Dis - Day Services                 | 3,067                | -267            | 3,187                | -260            | 128   | Increase in packages of care  | -29   |
| Learn Dis - Transition Service           | 502                  | 0               | 434                  | 0               | -68   | Staff vacancies   | -52   |
| Learn Dis - Community Support            | 2,150                | -137            | 1,944                | -137            | -206  | Reduction in packages   | -136  |
| Learn Dis - Grants                       | 156                  | 0               | 280                  | 0               | 124   | Efficiency slippage   | 90  |
| Learn Dis - Adult Placement/Shared Lives | 2,766                | -2,139          | 2,851                | -2,174          | 50  | Increase in packages  | 43  |

## Social Care & Health Scrutiny Report

### Budget Monitoring as at 31st December 2016 - Main Variances

| Division                                | Working Budget       |                 | Forecasted           |                 | Dec 2016                                    | Notes   | Oct 2016                                    |
|---|----------------------|-----------------|----------------------|-----------------|---|---|---|
|   | Expenditure<br>£'000 | Income<br>£'000 | Expenditure<br>£'000 | Income<br>£'000 | Forecasted<br>Variance for<br>Year<br>£'000 |   | Forecasted<br>Variance for<br>Year<br>£'000 |
| <b>Mental Health</b>                    |                      |                 |                      |                 |   |   |   |
| M Health - Commissioning                | 837                  | -69             | 763                  | -69             | -74   | Staff vacancies   | -39   |
| M Health - Private/Vol Homes            | 6,268                | -2,874          | 6,551                | -3,059          | 98  | Inflationary fee uplift partly offset by reduced placements | 9   |
| M Health - Group Homes/Supported Living | 590                  | -186            | 632                  | -186            | 42  | Increase in placement costs                                 | -38   |
| M Health - Community Support            | 673                  | -98             | 743                  | -98             | 70  | Increase in packages  | 83  |
| M Health - Substance Misuse Team        | 338                  | -142            | 319                  | -147            | -23   | Staff vacancy   | -25   |
|   |                      |                 |                      |                 |   |   |   |
| <b>Support</b>                          |                      |                 |                      |                 |   |   |   |
| Departmental Support                    | 1,896                | -71             | 1,868                | -92             | -49   | Reduced spend on supplies & services                        | -83   |
| Performance, Analysis & Systems         | 226                  | 0               | 190                  | 0               | -36   | Staff vacancy & maternity leave                             | -28   |
| Adult Safeguarding & Commissioning Team | 1,123                | 0               | 1,097                | 0               | -26   | Staff vacancy   | -47   |
|   |                      |                 |                      |                 |   |   |   |
| <b>Other Variances - Adult Services</b> |                      |                 |                      |                 | 7   |   | -19   |
|   |                      |                 |                      |                 |   |   |   |
| <b>Grand Total</b>                      |                      |                 |                      |                 | <b>392</b>                                  |   | <b>392</b>                                  |

## Social Care & Health Scrutiny Report

### Budget Monitoring as at 31st December 2016 - Detail Monitoring

| Page 44                                  | Division | Working Budget       |                 |                                   |              | Forecasted           |                 |                                   |              | Dec 2016<br>Forecasted<br>Variance for<br>Year<br>£'000 | Notes   | Oct 2016<br>Forecasted<br>Variance for<br>Year<br>£'000 |
|--|----------|----------------------|-----------------|-----------------------------------|--------------|----------------------|-----------------|-----------------------------------|--------------|---|---|---|
|  |          | Expenditure<br>£'000 | Income<br>£'000 | Net non-<br>controllable<br>£'000 | Net<br>£'000 | Expenditure<br>£'000 | Income<br>£'000 | Net non-<br>controllable<br>£'000 | Net<br>£'000 |   |   |   |
| Adult Services                           |          |                      |                 |                                   |              |                      |                 |                                   |              |   |   |   |
| Older People                             |          |                      |                 |                                   |              |                      |                 |                                   |              |   |   |   |
| Older People - Commissioning             |          | 2,855                | -10             | 411                               | 3,257        | 2,787                | -20             | 411                               | 3,178        | -79   | Staff vacancies   | -61   |
| Older People - LA Homes                  |          | 6,932                | -3,852          | 1,147                             | 4,226        | 6,873                | -3,846          | 1,147                             | 4,174        | -52   | Supplies & Services   | -34   |
| Older People - Private/ Vol Homes        |          | 17,537               | -8,954          | 75                                | 8,659        | 18,693               | -9,229          | 75                                | 9,539        | 880   | Net loss in placements insufficient to meet savings target                  | 764   |
| Older People - Private Day Care          |          | 14                   | 0               | 0                                 | 14           | 20                   | 0               | 0                                 | 20           | 6   |   | 7   |
| Older People - Extra Care                |          | 719                  | 0               | 4                                 | 724          | 870                  | 0               | 4                                 | 874          | 150   | Lower than anticipated saving from contract renegotiations                  | 151   |
| Older People - LA Home Care              |          | 6,039                | -419            | 587                               | 6,207        | 5,870                | -291            | 587                               | 6,166        | -41   | Reduction in income at Cartref Cynnes                                       | 0   |
| Older People - MOW's                     |          | 287                  | -181            | 12                                | 117          | 298                  | -183            | 12                                | 127          | 9   |   | 0   |
| Older People - Direct Payments           |          | 614                  | 0               | 1                                 | 615          | 708                  | 0               | 1                                 | 709          | 94  | Increase in packages  | 73  |
| Older People - Grants                    |          | 268                  | 0               | 2                                 | 270          | 237                  | 0               | 2                                 | 239          | -31   | Reduced grant payments  | -31   |
| Older People - Private Home Care         |          | 9,320                | -2,003          | 157                               | 7,473        | 9,320                | -2,003          | 157                               | 7,473        | -0  |   | 0   |
| Older People - Ssmms                     |          | 1,171                | -228            | 308                               | 1,251        | 1,029                | -275            | 308                               | 1,062        | -189  | Staff vacancies, reduced spend on supplies & services and additional income | -180  |
| Older People - Careline                  |          | 1,060                | -1,165          | 191                               | 86           | 1,204                | -1,378          | 191                               | 17           | -68   | Additional staffing & other costs offset by additional income               | -104  |
| Older People - Enablement                |          | 2,405                | -800            | 104                               | 1,709        | 2,086                | -800            | 104                               | 1,391        | -318  | Staff vacancies   | -191  |
| Older People - Day Services              |          | 1,123                | -76             | 124                               | 1,171        | 1,207                | -64             | 124                               | 1,268        | 97  | Slower than anticipated restructure   | 167   |
| Total Older People                       |          | 50,344               | -17,689         | 3,124                             | 35,778       | 51,202               | -18,089         | 3,124                             | 36,237       | 458   |   | 560   |
| Physical Disabilities                    |          |                      |                 |                                   |              |                      |                 |                                   |              |   |   |   |
| Phys Dis - Commissioning & OT Services   |          | 600                  | -79             | 48                                | 568          | 509                  | -79             | 48                                | 477          | -91   | Staff vacancies   | -81   |
| Phys Dis - Private/Vol Homes             |          | 561                  | -111            | 1                                 | 451          | 516                  | -111            | 1                                 | 406          | -45   | Reduction in packages   | -46   |
| Phys Dis - Group Homes/Supported Living  |          | 1,358                | -116            | 6                                 | 1,249        | 1,327                | -116            | 6                                 | 1,218        | -31   | Reduction in packages   | -50   |
| Phys Dis - Community Support             |          | 90                   | 0               | 0                                 | 90           | 101                  | 0               | 0                                 | 101          | 10  |   | -7  |
| Phys Dis - Private Home Care             |          | 414                  | 0               | 0                                 | 414          | 414                  | 0               | 0                                 | 414          | 0   |   | 0   |
| Phys Dis - Aids & Equipment              |          | 986                  | -442            | 35                                | 578          | 1,304                | -769            | 35                                | 570          | -9  |   | -20   |
| Phys Dis - Grants                        |          | 140                  | 0               | 0                                 | 140          | 126                  | 0               | 0                                 | 126          | -14   |   | -13   |
| Phys Dis - Direct Payments               |          | 1,831                | 0               | 3                                 | 1,834        | 1,792                | 0               | 3                                 | 1,795        | -39   | Reduction in packages   | -22   |
| Phys Dis - Manual Handling               |          | 8                    | 0               | 0                                 | 8            | 8                    | 0               | 0                                 | 8            | 0   |   | 0   |
| Total Physical Disabilities              |          | 5,989                | -748            | 92                                | 5,333        | 6,097                | -1,076          | 92                                | 5,114        | -219  |   | -239  |
| Learning Disabilities                    |          |                      |                 |                                   |              |                      |                 |                                   |              |   |   |   |
| Learn Dis - Employment & Training        |          | 2,416                | -903            | 332                               | 1,845        | 2,294                | -718            | 332                               | 1,908        | 62  | Reduction in grant for Workchoice programme.                                | 140   |
| Learn Dis - Commissioning                |          | 890                  | 0               | 74                                | 964          | 865                  | 0               | 74                                | 939          | -25   | Staff vacancies   | 8   |
| Learn Dis - Private/Vol Homes            |          | 10,047               | -3,157          | 16                                | 6,907        | 10,126               | -3,087          | 16                                | 7,054        | 147   | Increase in packages  | 84  |
| Learn Dis - Direct Payments              |          | 1,275                | 0               | 0                                 | 1,275        | 1,411                | 0               | 0                                 | 1,411        | 136   | Inflationary fee uplift   | 112   |
| Learn Dis - Group Homes/Supported Living |          | 6,180                | -1,010          | 10                                | 5,180        | 6,095                | -1,010          | 10                                | 5,095        | -85   | Decrease in packages  | 32  |
| Learn Dis - Adult Respite Care           |          | 932                  | -812            | 105                               | 226          | 818                  | -812            | 105                               | 111          | -115  | Staff vacancies   | -67   |
| Learn Dis - Home Care Service            |          | 141                  | 0               | 0                                 | 141          | 141                  | 0               | 0                                 | 141          | 0   |   | 0   |
| Learn Dis - Day Services                 |          | 3,067                | -267            | 316                               | 3,116        | 3,187                | -260            | 316                               | 3,243        | 128   | Increase in packages of care  | -29   |
| Learn Dis - Transition Service           |          | 502                  | 0               | 64                                | 567          | 434                  | 0               | 64                                | 499          | -68   | Staff vacancies   | -52   |
| Learn Dis - Community Support            |          | 2,150                | -137            | 5                                 | 2,018        | 1,944                | -137            | 5                                 | 1,812        | -206  | Reduction in packages   | -136  |

## Social Care & Health Scrutiny Report

### Budget Monitoring as at 31st December 2016 - Detail Monitoring

| Division  | Working Budget       |                 |                                   |               | Forecasted           |                 |                                   |               | Dec 2016<br>Forecasted<br>Variance for<br>Year<br>£'000 | Notes   | Oct 2016<br>Forecasted<br>Variance for<br>Year<br>£'000 |
|---|----------------------|-----------------|-----------------------------------|---------------|----------------------|-----------------|-----------------------------------|---------------|---|---|---|
|   | Expenditure<br>£'000 | Income<br>£'000 | Net non-<br>controllable<br>£'000 | Net<br>£'000  | Expenditure<br>£'000 | Income<br>£'000 | Net non-<br>controllable<br>£'000 | Net<br>£'000  |   |   |   |
| Learn Dis - Grants                                | 156                  | 0               | 7                                 | 162           | 280                  | 0               | 7                                 | 286           | 124   | Efficiency slippage   | 90  |
| Learn Dis - Adult Placement/Shared Lives          | 2,766                | -2,139          | 76                                | 703           | 2,851                | -2,174          | 76                                | 753           | 50  | Increase in packages  | 43  |
| Learn Dis/M Health - Ssmss                        | 536                  | 0               | 344                               | 880           | 529                  | 0               | 344                               | 873           | -7  |   | -4  |
| <b>Total Learning Disabilities</b>                | <b>31,060</b>        | <b>-8,425</b>   | <b>1,349</b>                      | <b>23,984</b> | <b>30,974</b>        | <b>-8,199</b>   | <b>1,349</b>                      | <b>24,125</b> | <b>141</b>  |   | <b>221</b>  |
| <b>Mental Health</b>                              |                      |                 |                                   |               |                      |                 |                                   |               |   |   |   |
| M Health - Commissioning                          | 837                  | -69             | 74                                | 842           | 763                  | -69             | 74                                | 768           | -74   | Staff vacancies   | -39   |
| M Health - Private/Vol Homes                      | 6,268                | -2,874          | 9                                 | 3,403         | 6,551                | -3,059          | 9                                 | 3,501         | 98  | Inflationary fee uplift partly offset by reduced placements | 9   |
| M Health - Group Homes/Supported Living           | 590                  | -186            | 0                                 | 404           | 632                  | -186            | 0                                 | 446           | 42  | Increase in placement costs                                 | -38   |
| M Health - Direct Payments                        | 132                  | 0               | 0                                 | 132           | 140                  | 0               | 0                                 | 140           | 8   |   | 8   |
| M Health - Community Support                      | 673                  | -98             | 2                                 | 576           | 743                  | -98             | 2                                 | 646           | 70  | Increase in packages  | 83  |
| M Health - Day Services                           | 223                  | -10             | 16                                | 228           | 202                  | -9              | 16                                | 209           | -20   |   | -13   |
| M Health - Private Home Care                      | 93                   | 0               | 0                                 | 93            | 93                   | 0               | 0                                 | 93            | 0   |   | 0   |
| M Health - Substance Misuse Team                  | 338                  | -142            | 30                                | 225           | 319                  | -147            | 30                                | 202           | -23   | Staff vacancy   | -25   |
| <b>Total Mental Health</b>                        | <b>9,154</b>         | <b>-3,379</b>   | <b>130</b>                        | <b>5,904</b>  | <b>9,443</b>         | <b>-3,569</b>   | <b>130</b>                        | <b>6,004</b>  | <b>100</b>  |   | <b>-15</b>  |
| <b>Support</b>                                    |                      |                 |                                   |               |                      |                 |                                   |               |   |   |   |
| Departmental Support                              | 1,896                | -71             | 594                               | 2,419         | 1,868                | -92             | 594                               | 2,370         | -49   | Reduced spend on supplies & services                        | -83   |
| Performance, Analysis & Systems                   | 226                  | 0               | 0                                 | 226           | 190                  | 0               | 0                                 | 190           | -36   | Staff vacancy & maternity leave                             | -28   |
| Adult Safeguarding & Commissioning Team           | 1,123                | 0               | 176                               | 1,300         | 1,097                | 0               | 176                               | 1,273         | -26   | Staff vacancy   | -47   |
| Regional Collaborative                            | 0                    | 0               | 0                                 | 0             | 636                  | -636            | 0                                 | 0             | 0   |   | -0  |
| Holding Acc-Transport                             | 1,508                | -1,567          | 59                                | -0            | 1,532                | -1,568          | 59                                | 23            | 23  |   | 23  |
| <b>Total Support</b>                              | <b>4,753</b>         | <b>-1,638</b>   | <b>830</b>                        | <b>3,944</b>  | <b>5,323</b>         | <b>-2,296</b>   | <b>830</b>                        | <b>3,856</b>  | <b>-88</b>  |   | <b>-135</b>   |
| <b>TOTAL FOR SOCIAL CARE &amp; HEALTH SERVICE</b> | <b>101,299</b>       | <b>-31,880</b>  | <b>5,525</b>                      | <b>74,944</b> | <b>103,040</b>       | <b>-33,228</b>  | <b>5,525</b>                      | <b>75,336</b> | <b>392</b>  |   | <b>392</b>  |

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| Capital Programme 2016/17   |                      |                 |              |                      |                 |              | Variance for Year £'000 | Comment  |
|---|----------------------|-----------------|--------------|----------------------|-----------------|--------------|-------------------------|--|
| Capital Budget Monitoring - Report for December 2016 - Main Variances |                      |                 |              |                      |                 |              |                         |  |
|   | Working Budget       |                 |              | Forecasted           |                 |              |                         |  |
| DEPARTMENT/SCHEMES  | Expenditure<br>£'000 | Income<br>£'000 | Net<br>£'000 | Expenditure<br>£'000 | Income<br>£'000 | Net<br>£'000 |                         |  |
| Social Care   | 2,565                | 0               | 2,565        | 575                  | 0               | 575          | -1,990                  |  |
| Learning Disabilities Accomodation Developments                       | 228                  | 0               | 228          | 0                    | 0               | 0            | -228                    | Options are being considered for the location of future learning disability provision as part of a TIC review of the service |
| Carmarthen Area Extra Care  | 577                  | 0               | 577          | 344                  | 0               | 344          | -233                    | Contingencies included in contract not fully utilised - final sum yet to be agreed - Savings identified                      |
| Ammanford / Llandybie Extra Care                                      | 260                  | 0               | 260          | 161                  | 0               | 161          | -99                     | Contingencies included in contract not fully utilised - final sum yet to be agreed - Savings identified                      |
| Extra Care - Llanelli Area  | 1,500                | 0               | 1,500        | 70                   | 0               | 70           | -1,430                  | Options/Appraisals being considered for potential scheme   |

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|---|
| <b>Social Care</b>  |
| <b>Capital Budget Monitoring - Scrutiny Report for December 2016 - Detailed Variances</b> |

|   |                            | Working Budget    |              |              | Forecasted        |              |            |
|---|----------------------------|-------------------|--------------|--------------|-------------------|--------------|------------|
| Scheme  | Target Date for Completion | Expenditure £'000 | Income £'000 | Net £'000    | Expenditure £'000 | Income £'000 | Net £'000  |
| Learning Disabilities Accomodation Developments | Ongoing                    | 228               | 0            | 228          | 0                 | 0            | 0          |
|   |                            |                   |              |              |                   |              |            |
| <b>Extra Care Schemes</b>                       |                            | <b>2,337</b>      | <b>0</b>     | <b>2,337</b> | <b>575</b>        | <b>0</b>     | <b>575</b> |
| Carmarthen Area Extra Care                      | Completed                  | 577               | 0            | 577          | 344               | 0            | 344        |
| Ammanford / Llandybie Extra Care                | Completed                  | 260               | 0            | 260          | 161               | 0            | 161        |
| Extra Care - Llanelli Area                      | Ongoing                    | 1,500             | 0            | 1,500        | 70                | 0            | 70         |
| <b>NET BUDGET</b>                               |                            | <b>5,130</b>      | <b>0</b>     | <b>2,565</b> | <b>1,150</b>      | <b>0</b>     | <b>575</b> |

| Variance for Year £'000 | Comment  |
|-------------------------|--|
| -228                    | Options are being considered for the location of future learning disability provision as part of a TIC review of the service |
|                         |  |
| <b>-1,762</b>           |  |
| -233                    | Contingencies included in contract not fully utilised - final sum yet to be agreed - Savings identified                      |
| -99                     | Contingencies included in contract not fully utilised - final sum yet to be agreed - Savings identified                      |
| -1,430                  | Options/Appraisals being considered for potential scheme   |
| <b>-1,990</b>           |  |

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## SOCIAL CARE & HEALTH SCRUTINY COMMITTEE 6<sup>TH</sup> MARCH 2017

### EXPLANATION FOR NON-SUBMISSION OF SCRUTINY REPORTS

| ITEM                                | RESPONSIBLE OFFICER   | EXPLANATION   | REVISED SUBMISSION DATE |
|-------------------------------------|---|---|-------------------------|
| <b>Mental Health Transformation</b> | Avril Bracey, Head of Mental Health & Learning Disabilities | Information is still awaited from Health to produce this report. It has been agreed across the region that we will submit consistent reports across the three Local Authorities. This was initially expected in March but the Health Authority has confirmed that the information will not now be available until May 2017. | May 2017                |
| <b>TIC Project Update</b>           | Jon Owen, TIC Programme Manager                             | The TIC Annual Report/Business Plan is currently under development and will be reported to P&R Scrutiny in May of this year. It is then proposed that a TIC update is also provided to individual scrutiny committees during the course of the year.  | 2017/18                 |

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## JOINT SCRUTINY COMMITTEE EDUCATION & CHILDREN AND SOCIAL CARE AND HEALTH SCRUTINY

Wednesday, 25 January 2017

**PRESENT:** Councillor G. Thomas (Chair)

**Councillors:**

S.M. Allen, D.J.R. Bartlett, S.M. Caiach, C.A. Campbell, J.M. Charles, I.W. Davies, W.T. Evans, W.G. Hopkins, P. Hughes-Griffiths, A. James, M.J.A. Lewis, D.J.R. Llewellyn, E. Morgan, D.W.H. Richards, B.A.L. Roberts, E.G. Thomas, J.E. Williams, J. Williams and J.S. Williams

Mrs. V. Kenny – Roman Catholic Church Representative

**Also in attendance:**

Councillor J. Tremlett, Executive Board Member for Social Care & Health

**The following Officers were in attendance:**

S. Smith - Head of Children's Services  
M. Palfreman - Head of Regional Collaboration  
C. Gadd - Democratic Services Officer

**Chamber, 3 Spilman Street, Carmarthen - 10.00 - 10.45 am**

**1. TO APPOINT A CHAIR FOR THE MEETING**

It was **UNANIMOUSLY RESOLVED** to appoint Councillor G. Thomas as Chair for the meeting.

**2. APOLOGIES FOR ABSENCE**

Apologies for absence were received from Councillors J.D. James, K. Madge and J. Owen as well as Mrs. E. Heyes (Parent Governor Member Llanelli Area), Mrs. K. Hill (Parent Governor Member Dinefwr Area) and Mrs J. Voyle Williams (Church in Wales Representative).

**3. DECLARATIONS OF PERSONAL INTEREST**

| Councillor          | Minute No(s)  | Nature of Interest                                       |
|---------------------|---------------|--|
| Councillor A. James | Minute No. 6  | He uses the Carers' Service and his daughter is a nurse. |
| Councillor J. Lewis | Minutes No. 6 | She sits on the Community Health Council                 |

|                            |               |  |
|----------------------------|---------------|--|
| Councillor E. Morgan       | Minutes No. 6 | Daughter is a staff nurse  |
| Councillor D.W.H. Richards | Minute No. 6  | He uses the Carers' Service  |
| Councillor B.A.L. Roberts  | Minutes No. 6 | Daughter is a health visitor and she is a co-opted member of the Community Health Council. |
| Councillor G. Thomas       | Minutes No. 6 | Her husband drives for Country Cars  |
| Councillor J. Williams     | Minutes No. 6 | She is an unpaid carer for her husband   |

#### 4. DECLARATIONS OF PROHIBITED PARTY WHIPS

There were no declarations of prohibited party whips.

#### 5. PUBLIC QUESTIONS (NONE RECEIVED)

The Chair advised that no public questions had been received.

#### 6. PARTNERSHIP ARRANGEMENTS ESTABLISHED IN WEST WALES UNDER PART 9 OF THE SOCIAL SERVICES AND WELLBEING (WALES) ACT 2014 AND THE WEST WALES POPULATION ASSESSMENT

The Committee considered a report on the partnership arrangements established in West Wales under Part 9 of the Social Services and Wellbeing (Wales) Act (SSWBWA) and the West Wales Population Assessment in advance of endorsement by Council.

The report provided an overview of partnership arrangements established within the West Wales footprint to meet the requirements within Part 9 of the Social Services and Well-Being (Wales) Act 2014, which included the establishment of a statutory Regional Partnership Board (RPB). It was noted that the West Wales RPB had been in place since June 2016 and the Terms of Reference were due for review in December 2016. However, Officers explained that it had been agreed to defer a full review of the Terms of Reference to March 2017. It was highlighted that there were regional priorities for RPB, including: integrated commissioning, pooled funds, remodelling mental health and learning disabilities services, information, advice, assistance/prevention and implementation of the Welsh Community Care Information System.

Members were advised of the work undertaken on behalf of the RPB to complete an initial Population Assessment, which had been approved by the Board and had

to be endorsed by the statutory partners, prior to publication by 31st March 2017. The Committee was informed that the Assessment identified the needs for care and support in the region, the levels of care and support currently provided and areas for improvement and development. It was highlighted that a wealth of data had been collated to inform the Assessment and there had been an encouraging return rate of surveys. One of the key messages from the Assessment was the anticipated growth in demand for services over the coming few decades, and in particular for older people. Officers explained that low level preventative community care would be an important aspect of addressing this need. Another area to be addressed was ensuring that services were available in Welsh. The Committee were pleased that attention had been given to the importance of developing the use of Welsh in the health and care sector. Officers explained that following the publication of the Population Assessment the RPB was required to develop an area plan to address the needs identified.

The Committee noted that there was one Elected Member from each Local Authority on the Board and queried whether there was a democratic deficit. It was suggested that it would be useful to increase the number Elected Members and for them to be representative of the community. Officers advised that the minimum statutory requirements were currently being met. However, it was the view of the Board that this could be progressed further and it would be considered as part of the review of the Terms of Reference in March. It was noted that carers and service users were represented on the Board. The Committee highlighted the option of co-opting onto the Board and felt that this option could be beneficial to assist those in underrepresented areas, for example, with such issues as transport.

It was clarified that there was one representative on the Board from the Voluntary Services Councils for the three areas. In addition there was also a representative from a national third sector organisation that helped to provide a broader perspective. The Committee requested a flowchart for ease of reference as to how the different Boards and groups related to each other and their accountability. Officers would consider how best to develop this and it was noted that main pieces of legislation, the Social Services and Wellbeing (Wales) Act and the Well-being of Future Generations (Wales) Act, did not link together seamlessly.

Members asked if the life expectancy of adults should be considered, rather than just life expectancy from birth, as a lot of older people moved into the area at retirement age. Officers agreed that older people coming into the area still required services and they could be a valuable community asset in developing preventative services. This was confirmed by the Executive Board Member for Social Care & Health, who represented the Council on the Board.

Members queried whether the RPB was another layer of bureaucracy. Officers highlighted that if the Board had not been developed in the right way then this could have been the case, however, it had been developed to streamline partnership working and there was a genuine desire from all partners to work collaboratively.

Members asked what data had been used for the Population Assessment as there were concerns that the data used may not have been up to date. Officers explained that a variety of data sources were used, which included a data set from the Data Unit Wales and regularly updated data provided on a regional basis.

The Committee felt that it was a positive step to have pooled budgets, however, some concern was expressed about how they would be managed. Officers highlighted that there would be strict criteria and accountability and they would also need to be flexible enough to work across the system.

The Committee thanked the team for all their work.

**UNANIMOUSLY RESOVLED that the report be received.**

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**CHAIR**

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**DATE**



## SOCIAL CARE & HEALTH SCRUTINY COMMITTEE

Wednesday, 25 January 2017

**PRESENT:** Councillor G. Thomas (Chair)

**Councillors:**

S.M. Allen, S.M. Caiach, I.W. Davies, T.T. Defis, W.T. Evans, D.J.R. Llewellyn, K. Madge, E. Morgan, B.A.L. Roberts, E.G. Thomas, J. Williams and J.S. Williams

**Also in attendance:**

Councillor J. Tremlett, Executive Board Member for Social Care & Health

**The following Officers were in attendance:**

R. Dawson - Head of Integrated Services  
K. Pett - Policy, Consultation & Engagement Officer  
J. Wilkinson - Assistant Locality Manager  
S. Watkins - Information, Advice & Assistance Manager  
N. Morgan - Locality Manager  
C. Gadd - Democratic Services Officer

**Chamber, 3 Spilman Street, Carmarthen - 10.50 am - 12.20 pm**

**1. APOLOGIES FOR ABSENCE**

Apologies for absence were received from Councillor J. Owen.

**2. DECLARATIONS OF PERSONAL INTERESTS**

| Councillor                | Minute No(s)          | Nature of Interest  |
|---------------------------|-----------------------|---|
| Councillor K. Madge       | Minutes Nos. 6, 7 & 8 | Daughter works in Social Services. Wife works in Amman Valley Hospital. |
| Councillor E. Morgan      | Minutes Nos. 6, 7 & 8 | Daughter is a staff nurse.  |
| Councillor B.A.L. Roberts | Minutes Nos. 6, 7 & 8 | Daughter is a health visitor.   |
| Councillor G. Thomas      | Minutes No. 6         | Husband drives for Country Cars.  |

|                        |                       |   |
|------------------------|-----------------------|---|
| Councillor J. Williams | Minutes Nos. 6, 7 & 8 | She is an unpaid carer for her husband. |
|------------------------|-----------------------|---|

### 3. **DECLARATION OF PROHIBITED PARTY WHIPS**

There were no declarations of prohibited party whips.

### 4. **PUBLIC QUESTIONS (NONE RECEIVED)**

The Chair advised that no public questions had been received.

### 5. **FORTHCOMING ITEMS**

**RESOLVED** that the list of forthcoming items to be considered at the next scheduled meeting to be held on Monday, 6<sup>th</sup> March, 2017 be noted.

### 6. **CARMARTHENSHIRE'S AGEING WELL PLAN - ANNUAL REPORT**

The Committee considered Carmarthenshire's Ageing Well Plan Annual Report. It was explained that as a signatory of the Dublin Declaration for Age Friendly Cities and Communities, the Council committed in 2014, to the production of an Ageing Well Plan and an Annual Report was required by the Older Peoples Commission. The overall aim was to make the most of the capacity of communities to support the independent living of older people.

The Policy, Consultation & Engagement Officer explained that the Annual Report demonstrated the Council's performance against the five main priorities: Age Friendly Communities, Dementia Supportive Communities, Falls Prevention, Opportunities for Employment and New Skills and Loneliness and Isolation. It was noted that it was important that the Council changed the way services were planned and provided to ensure that, as the number of older people in Carmarthenshire continued to increase, people could live in good health and be involved with their families and communities as much as possible. It was explained that a broader range of measures were being considered that required lower level interventions to ensure sustainability.

It was highlighted that there had been a lot of work undertaken in developing dementia supportive communities and a good example was Llanelli market becoming the first dementia friendly market in Wales. There had also been significant work in falls prevention and an example of this was the SAVE Scheme across the Public Service Board partners, which was a make every contact count initiative. The Scheme provided training to partners to identify where preventative services could be required when they were in contact with residents. It was highlighted that isolation and loneliness was a silent killer and activities that were of benefit to residents and the communities had been introduced. For example, encouraging active lifestyles, volunteering and theatre concessions.

Members expressed concerns regarding the lack of regular public transport, in rural areas and the cost of using other methods of transport to visit hospitals and other facilities, especially if people had been referred there. The Head of Integrated Services queried if issues regarding access to primary care had been

raised with the Community Health Council. It was proposed and agreed by the Committee that the Community Health Council be invited to a future meeting to discuss such issues.

It was highlighted that transport schemes, such as Country Cars, were not available in all areas of the County. The Committee noted that Country Cars should be advertised further to recruit more volunteer drivers and encourage people to use the scheme for it to expand. The Head of Integrated Services explained that it would have to be ensured that there was capacity to meet an increase in demand before advertising the scheme further. It was suggested that once the service was in a position to increase advertising then the Information, Advice and Assistance Service would be the ideal platform for such advertising. The Information, Advice & Assistance Manager highlighted that as a result of consultation with older people the Service was trying to create communities of people who could share transport.

Members highlighted that Social Services seems to be more flexible in meeting needs of service users than the Health Service, which was more prescriptive. It was pointed out that older people who were placed in hospitals and care homes outside of the main urban areas, for example in Crosshands, resulted in them becoming more isolated partly due to the lack of transport links. It was noted that having a care home in Llanelli would help to address this issue. It was highlighted that information on the ARCH project would be included in the presentation on the City Deal at the meeting of Special Council that afternoon. It was noted that placements in Crosshands had been stepdown beds for an interim period.

The Committee expressed concern that there was a reduction in community classes due to budget efficiencies and it was hoped that new developments, such as the library in Llandelio, would help to encourage more community groups. Members commented that the concessions offered by theatres were good value for money.

Members highlighted that the 50+ Forum had grown and was doing well and the Committee expressed their thanks to the Forum.

## **UNANIMOUSLY RESOLVED**

- 6.1 that the report be received;**
- 6.2 that the Community Health Council be invited to a future meeting of the Social Care & Health Scrutiny Committee.**

## **7. IMPROVING DEMENTIA SUPPORT IN CARMARTHENSHIRE**

The Committee considered a progress report on Improving Dementia Support Services in Carmarthenshire. Members were advised on the national recommendations published by the Older People's Commissioner regarding the improvements needed in dementia services, the regional steering group that had been set up to deliver these improvements and the progress and actions taken at a local level to respond to these recommendations.

The report referred to a recent national publication by the Older People's Commissioner of Wales entitled 'More Than Just Memory Loss', which captured what was important to people living with dementia and their carers from across

Wales. The report outlined that people required consistent support, which included emotional as well as physical support. The Committee was informed of the development of Carmarthenshire's Dementia Action Board and a broad outline of the work that had been undertaken locally. This included the development of Dementia Friendly Communities that supported the national movement aimed at improving society's response to people who have dementia. It was highlighted that the first officially dementia community created in Carmarthenshire was in Pontyberem and Llanelli market had become the first Dementia friendly market in Wales.

It was noted that work was being undertaken with the primary care sector to deliver holistic dementia services. Officers highlighted that the Amman Gwendraeth GP Cluster continued to fund the community memory service which had been recognised nationally as good practice. It was noted that in Llanelli GPs, supported by the Community Team, were diagnosing dementia in the surgeries, which prevented the need for patients to be referred to hospital and resulted in a more timely diagnosis. Work on Commissioning had also been progressed to explore the opportunities to develop a bespoke care and support model for those living with dementia and cognitive impairment. This model would provide a more flexible approach than the traditional domiciliary care model and would better meet the needs of the individual as well as saving money and resources.

Members highlighted that some of the work being undertaken in dementia services had been excellent. In particular, the health and well-being facility Ty Golau was mentioned and it was commented that it was positive to see GPs supporting such beneficial initiatives.

The Committee noted that there were low diagnosis rates of dementia across the Hywel Dda Health Board area. It was queried if this was due to less people in the area having dementia or that the Health Board did not perform as well as other areas in diagnosing it. Officers explained that the figures were from the Alzheimer Society and based on population information, however, it was not an exact science. The Alzheimer Society's findings and the Health Board's own analysis indicated that perhaps there was underperformance in formal diagnoses. It was explained that it was not just low rates but also the length of time for a diagnosis to be made that required addressing.

Members queried if the work being undertaken to improve dementia support would be extended across the County. The Head of Integrated Services explained that the service in Llanelli was mainly funded through primary care and GPs had the lead in how the money should be used. However, all good practice should be shared across Carmarthenshire and examples were provided of where this had happened. The new Locality Manager for the Amman Gwendrith area, Neil Morgan, was welcomed by the Committee and it was noted that he would be working with a new GP lead in that area.

**UNANIMOUSLY RESOLVED that the report be received.**

## **8. INFORMATION, ADVICE AND ASSISTANCE SERVICE**

The Committee received an update report on the Council's current and future provision for Information, Advice & Assistance (IAA) Services in relation to statutory duties under the Social Services & Wellbeing Act (Wales) 2014.

Members were advised of the current position statement in relation to progress of development of Carmarthenshire's IAA service. Specifically the provision of a single point of access to Social Services for Adults and Children and Housing Services. Officers highlighted that there were numerous access points for Social Services and it had been considered how best to pull them all together into a single access point. It was highlighted that this would assist with the early intervention agenda.

It was noted that Carmarthenshire had a long standing Careline service that dealt with a lot of vulnerable people, 24 hours per day and seven days per week. Consultation had taken place with staff across Social Services and Housing on how to develop the Careline service into the IAA Service. It had been important to ensure sustainability and that the Welsh Language Standards were met. It was highlighted that 85% of employees in the Service were now Welsh speaking (at least level 3). A more structured training scheme had been developed for the Team that allowed them to qualify up to NVQ Level 4 in Information and Advice. There was also one to one training and peer support to allow staff to upskill quickly and there were multidisciplinary practitioners in the room with the team to provide advice. There was now career progression for team members, which had been well received. It was highlighted that Service had been relocated to new premises in Eastgate in Llanelli, which had made a significant difference to its development.

The Service had commenced the proof of concept stage to ensure that it could meet people's needs and it was building on the learning from this stage to provide a robust front door for all Social Services enquiries for Carmarthenshire. It was noted that the IAA Service would be part of the new 111 contact number trial being undertaken by Hywel Dda Health Board. The Executive Board Member for Social Care & Health highlighted to the Committee the amount of work that had been undertaken to develop this service.

Members who had previously used the Careline Service commented that it had always been a good service and it was positive that it was being developed further. The Committee highlighted that it was sometimes beneficial to undertake a mystery shopper exercise to ensure that the information being provided to service users was correct and that there were clear pathways to accessing the information required. Officers took these comments on board and noted that at this stage they were ensuring the Service was robust before it was marketed. Members pointed out that the operational target date was March 2017 and queried if the Service was on track to meet this target. Officers explained that the Service was already broadly compliant with the requirements of the Act and they would continue to develop to ensure it was far more than that. The Committee thanked the team for the progress being made.

**UNANIMOUSLY RESOLVED that the report be received.**

## **9. SOCIAL CARE & HEALTH SCRUTINY COMMITTEE ACTIONS AND REFERRALS UPDATE**

The Committee considered the update report detailing progress in relation to actions, requests and referrals emerging from previous meetings.

The Executive Board Member for Social Care & Health provided the Committee with a further update on certain actions requested by Members previously.

The Committee was reminded that a visit to the Tŷ Dyffryn Extra Care Scheme had been arranged for 7<sup>th</sup> February 2017 and further details of the arrangements would be circulated to the Committee.

**RESOLVED that the report be received.**

**10. EXPLANATION FOR NON-SUBMISSION OF SCRUTINY REPORT**

The Committee noted the reasons for the non-submission of five reports, which would be presented to future meetings. It was noted that there would be an update on the ARCH project in the Council meeting scheduled for that afternoon. The Head of Integrated Services informed the Committee that more detailed information would be provided in due course.

**RESOLVED that the explanation for the non-submission be noted.**

**11. MINUTES - 17TH NOVEMBER 2016**

**RESOLVED that the minutes of the meeting of the Committee held on 17th November, 2016 be signed as a correct record.**

**12. MINUTES - 12TH DECEMBER 2016**

**RESOLVED that the minutes of the meeting of the Committee held on 12th December, 2016 be signed as a correct record.**

\_\_\_\_\_  
**CHAIR**

\_\_\_\_\_  
**DATE**